

15W 2633

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Daniel YAP, et al.) Re: Information Disclosure
Serial No.: 10/824,197) Statement
Filed: April 13, 2004) Group: 2633
For: "METHOD AND APPARATUS FOR) Examiner: not yet assigned
WAVEFORM GENERATION") Our Ref: B-4711 620051-9
Date: April 13, 2005

Commissioner for Patents
P.O. Box 1450
Alexandria VA, 22313-1450

Sir:

In accordance with the Applicants' duty to disclose information which may be material to the examination of this application, the undersigned respectfully requests that the Examiner consider on the merits the documents listed on the enclosed Form PTO-1449 (modified) before issuing the first Office Action on the merits. Copies of the foreign patent documents and the non-patent publications listed on the enclosed Form PTO-1449 (modified) are enclosed herewith for the Examiner's convenience. Copies of the U.S. patent documents and/or U.S. patent application publications listed on the enclosed Form PTO-1449 (modified) are not enclosed in accordance with 37 C.F.R. § 1.98(a)(2)(ii), with the exception of U.S. Patent Application No. 10/766,103 because it has not yet been published.

The documents listed on the enclosed Form PTO-1449 (modified) include those cited in the International Search Report for a related PCT Application No. PCT/US02/36844. A copy of the Search Report (3 pages) is enclosed herewith.

The documents listed on the enclosed Form PTO-1449 (modified) include those cited in the International Search Report for a related PCT Application No. PCT/US02/36845. A copy of the Search

Information Disclosure Statement
USSN 10/824,197
April 13, 2005
Page 2

Report (3 pages) is enclosed herewith.

The documents listed on the enclosed Form PTO-1449 (modified) include those cited in the International Search Report for a related PCT Application No. PCT/US02/36846. A copy of the Search Report (3 pages) is enclosed herewith.

The documents listed on the enclosed Form PTO-1449 (modified) include those cited in the International Search Report for a related PCT Application No. PCT/US02/36847. A copy of the Search Report (4 pages) is enclosed herewith.

The documents listed on the enclosed Form PTO-1449 (modified) include those cited in the International Search Report for the corresponding PCT Application No. PCT/US02/36849. A copy of the Search Report (5 pages) is enclosed herewith.

The documents listed on the enclosed Form PTO-1449 (modified) include those cited in the International Search Report for the corresponding PCT Application No. PCT/US02/36982. A copy of the Search Report (4 pages) is enclosed herewith.

The documents listed on the enclosed Form PTO-1449 (modified) include those cited in the International Search Report for the corresponding PCT Application No. PCT/US02/36983. A copy of the Search Report (3 pages) is enclosed herewith.

The documents listed on the enclosed Form PTO-1449 (modified) include those cited in the International Search Report for a related PCT Application No. PCT/US03/10730. A copy of the Search Report (3 pages) is enclosed herewith.

Information Disclosure Statement
USSN 10/824,197
April 13, 2005
Page 3

The documents listed on the enclosed Form PTO-1449 (modified) include those cited in the International Search Report for a related PCT Application No. PCT/US03/10959. A copy of the Search Report (3 pages) is enclosed herewith.

Japanese Document No. 07-264136 is not in English. A concise English-language explanation of the relevance of Japanese Document No. 07-264136 can be found in the enclosed English-language abstract.

It should be noted that the above-identified application may be related by subject matter to the following U.S. Application(s): 10/116,854, filed April 5, 2002 (now U.S. Patent No. 6,724,523 B2); 10/116,801, filed April 5, 2002 (published as U.S. Patent Application Publication No. 2003/0090767 A1); 10/116,829, filed April 5, 2002 (published as U.S. Patent Application Publication No. 2003/0091097 A1); 10/772,112, filed February 3, 2004 (now U.S. Patent No. 6,852,556 B2); 10/116,800, filed April 5, 2002 (now U.S. Patent No. 6,872,985 B2); 10/116,799, filed April 5, 2002 (published as U.S. Patent Application No. 2003/0089843 A1); 10/417,011, filed April 16, 2003 (published as U.S. Patent Application Publication No. 2003/0197917 A1); 10/417,020, filed April 16, 2003 (now U.S. Patent No. 6,867,904 B2); 10/786,721, filed February 24, 2004 (published as U.S. Patent Application Publication No. 2005/0013612 A1); 10/766,103, filed January 27, 2004. Pursuant to 37 C.F.R. 1.56(a) and M.P.E.P. 2004, paragraph 9, the applicant brings these co-pending applications to the attention of the Examiner. The Examiner should consider this information during the prosecution of the above-identified application. However, citation of these applications does not constitute an admission that the claims of the present application are substantially similar or similar to those of the applications

Information Disclosure Statement
USSN 10/824,197
April 13, 2005
Page 4

listed above.

The filing of this Information Disclosure Statement (IDS) shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this Information Disclosure Statement (IDS) shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

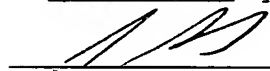
The Applicants believes that this IDS is being submitted before the issuance of a first Office Action on the merits and before the issuance of a Final Rejection or Notice of Allowance. Therefore, no official fees should be due; and this IDS should be considered on the merits. If this IDS is being submitted after the issuance of the first Office Action on the merits and before the issuance of a Final Rejection or Notice of Allowance, please contact the undersigned to authorize a payment of \$180.00 (or any other required amount), which is the fee set forth in 37 C.F.R. § 1.97(c), if the Examiner believes that such a fee is due in order for this IDS to be considered on the merits.

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. (Notice of January 9, 1992, 1135 O.G. 13-25, at 25.)

Information Disclosure Statement
USSN 10/824,197
April 13, 2005
Page 5

The person making this statement is the practitioner who signs below on the basis of information supplied by an individual associated with the filing and prosecution of this application (37 C.F.R. § 1.56(c)) and on the basis of information in the practitioner's file.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first-class mail in an envelope addressed to the "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450", on April 13, 2005 by Shana Morda.



Respectfully submitted,



Robert Popa
Attorney for Applicant
Reg. No. 43,010

LADAS & PARRY
5670 Wilshire Boulevard
Suite 2100
Los Angeles, CA 90036
(323) 934-2300

Enclosures: Form PTO-1449 (modified) (7 pages)
Copy of Search Report for PCT/US02/36844 (3 pages)
Copy of Search Report for PCT/US02/36845 (3 pages)
Copy of Search Report for PCT/US02/36846 (3 pages)
Copy of Search Report for PCT/US02/36847 (4 pages)
Copy of Search Report for PCT/US02/36849 (5 pages)
Copy of Search Report for PCT/US02/36982 (4 pages)
Copy of Search Report for PCT/US02/36983 (3 pages)
Copy of Search Report for PCT/US03/10730 (3 pages)
Copy of Search Report for PCT/US03/10959 (3 pages)
Copy of each Non-U.S. Patent documents listed on Form PTO-1449 (modified), with the exception of U.S. Patent Application No. 10/766,103



Information Disclosure Statement
USSN 10/824,197
April 13, 2005
Page 6

Form PTO-1449 (Modified) Page 1 of 7	ATTY DOCKET NO. B-4711 620051-9	U.S. SERIAL NO. 10/824,197
LIST OF PATENTS AND PUBLICATIONS STATEMENT	APPLICANTS Daniel YAP, et al.	
	FILING DATE April 13, 2004	GROUP 2633

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	ISSUE DATE	NAME	CLASS	SUB- CLASS	FILING DATE or 102(e) DATE IF APPROPRIATE
	4,028,702	6/1977	Levine	343	100 SA	
	4,296,319	10/1981	Franks et al.	250	227	
	5,001,336	3/1991	De La Chapelle	250	208.2	
	5,153,762	10/1992	Huber	359	125	
	5,379,309	1/1995	Logan, Jr.	372	18	
	5,383,198	1/1995	Pelouch et al.	372	18	
	5,404,006	4/1995	Schaffner et al.	250	208.2	
	5,577,057	11/1996	Friskien	372	18	
	5,617,239	4/1997	Walker	359	181	
	5,625,729	4/1997	Brown	385	31	
	5,687,261	11/1997	Logan	385	24	
	5,710,651	1/1998	Logan, Jr.	359	145	
	5,723,856	3/1998	Yao et al.	250	227.1	
	5,777,778	7/1998	Yao	359	245	
	5,796,506	8/1998	Tsai	359	191	
	5,859,611	1/1999	Lam et al.	342	368	
	5,917,179	6/1999	Yao	250	227.1	
	5,917,970	6/1999	Burns et al.	385	24	
	5,929,430	7/1999	Yao et al.	250	205	
	5,930,031	7/1999	Zhou et al.	359	344	
	6,027,254	1/2000	Yamada et al.	385	88	
	6,178,036 B1	1/2001	Yao	359	334	
	6,188,808 B1	2/2001	Zhou et al.	385	3	
	6,262,681 B1	7/2001	Persechini	342	188	

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Information Disclosure Statement
 USSN 10/824,197
 April 13, 2005
 Page 7



Form PTO-1449 (Modified) Page 2 of 7	ATTY DOCKET NO. B-4711 620051-9	U.S. SERIAL NO. 10/824,197
LIST OF PATENTS AND PUBLICATIONS STATEMENT	APPLICANTS Daniel YAP, et al.	
	FILING DATE April 13, 2004	GROUP 2633

U.S. PATENT DOCUMENTS (Continued)

EXAMINER INITIAL	DOCUMENT NUMBER	ISSUE DATE	NAME	CLASS	SUB- CLASS	FILING DATE or 102(e) DATE IF APPROPRIATE
	6,388,787 B1	5/2002	Bischoff	359	187	
	6,580,532 B1	6/2003	Yao et al.	359	111	
	6,591,026 B2	7/2003	Endo et al.	385	15	
	6,643,299 B1	11/2003	Lin	372	6	
	6,724,523 B2	4/2004	Yap	359	333	
	6,724,783 B2	4/4004	Jalali et al.	372	9	
	6,852,556 B2	2/2005	Yap	438	22	
	6,867,904 B2	3/2005	Ng et al.	359	332	
	6,872,985 B2	3/2005	Yap	257	82	
	2003/0089843 A1	5/2003	Sayyah et al.	250	227.21	
	2003/0090767 A1	5/2003	Yap et al.	359	181	
	2003/0091097 A1	5/2003	Yap et al.	375	132	
	2003/0197917 A1	10/2003	Yap et al.	359	330	
	2003/0227629 A1	12/2003	Dobbs et al.	356	437	
	2005/0013612 A1	1/2005	Yap	398	53	
	10/766,103		Ng et al.			1/24/2004

<u>EXAMINER</u>	<u>DATE CONSIDERED</u>

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Information Disclosure Statement
 USSN 10/824,197
 April 13, 2005
 Page 8

Form PTO-1449 (Modified) Page 3 of 7	ATTY DOCKET NO. B-4711 620051-9	U.S. SERIAL NO. 10/824,197
LIST OF PATENTS AND PUBLICATIONS STATEMENT	APPLICANTS Daniel YAP, et al.	
	FILING DATE April 13, 2004	GROUP 2633

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO
	0 352 747 A2	1/1990	EP			
	07-264136	10/1995	JP			abstract
	99/66613	12/1999	WO			
	00/44074	7/2000	WO			
	00/45213 A1	08/2000	WO			
	01/80507 A2	10/2001	WO			
	01/29992 A1	4/2001	WO			
	02/099939 A1	12/2002	WO			
	03/042734 A1	5/2003	WO			
	03/043126 A1	5/2003	WO			
	03/043147 A1	5/2003	WO			
	03/043177 A2	5/2003	WO			
	03/043178 A2	5/2003	WO			
	03/043195 A1	5/2003	WO			
	03/043231 A2	5/2003	WO			

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Information Disclosure Statement
 USSN 10/824,197
 April 13, 2005
 Page 9

Form PTO-1449 (Modified) Page 4 of 7	ATTY DOCKET NO. B-4711 620051-9	U.S. SERIAL NO. 10/824,197
LIST OF PATENTS AND PUBLICATIONS STATEMENT	APPLICANTS Daniel YAP, et al.	
	FILING DATE April 13, 2004	GROUP 2633

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Agrawal, G.P., <i>Nonlinear Fiber Optics</i> , Academic Press, Chapter 9, pp. 370-398 (1995).
	Alexe, M., et al., "Low Temperature GaAs/Si Direct Wafer Bonding," <i>Electronics Letters</i> , Vol. 36, No. 7 (March 30, 2000).
	Bennett, S., et al., "1.8-THz Bandwidth, Zero-Frequency Error, Tunable Optical Comb Generator for DWDM Applications," <i>IEEE Photonics Technology Letters</i> , Vol. 11, No. 5, pp 551-553 (May 1999).
	Berger, J.D., et al., "Widely Tunable External Cavity Diode Lase Base On A MEMS Electrostatic Rotary Actuator," <i>Paper TuJ2-1, OFC, Anaheim, California</i> , pp. TuJ2-1-TuJ2-3 (2001).
	Bilodeau, F., et al., "An All-Fiber Dense-Wavelength-Division Multiplexer/Demultiplexer Using Photoimprinted Bragg Gratings," <i>IEEE Photonics Technology Letters</i> , Vol. 7, No. 4, pp 388-390 (April 1995).
	Bordonalli, A.C., et al., "High-Performance Phase Locking of Wide Linewidth Semiconductor Lasers by Combined Use of Optical Injection Locking and Optical Phase-Lock Loop," <i>Journal of Lightwave Technology</i> , Vol. 17, No. 2, pp 328-342 (February 1999).
	Chan, W.K., et al., "Grafted Semiconductor Optoelectronics," <i>IEEE Journal Of Quantum Electronics</i> , Vol. 27, No. 3, pp. 717-725 (March 1991).
	Chu, S.T, et al., "An Eight-Channel Add-Drop Filter Using Vertically Coupled Microring Resonators over a Cross Grid," <i>IEEE Journal of Technology Letters</i> , Vol. 11, No. 6, pp 691-693 (June 1999).
	Chu, S.T., et al., "Wavelength Trimming of a Microring Resonator Filter by Means of a UV Sensitive Polymer Overlay," <i>IEEE Photonics Technology Letters</i> , Vol. 11, No. 6, pp 688-690 (June 1999).
	Collins, J.V., et al., "Passive Alignment of Second Generation Optoelectronic Devices," <i>IEEE Journal of Selected Topics In Quantum Electronics</i> , Vol. 3, No. 6, pp. 1441-1444 (December 1997).
	Corbett, B., et al., "Low-Threshold Lasing in Novel Microdisk Geometries," <i>IEEE Photonics Technology Letters</i> , Vol. 8, No. 7, pp 855-857 (July 1996).
	Corbett, B., "Spectral Characteristics of Low Threshold Microdisks," <i>IEEE Lasers and Electro-Optics Society 1996 Annual Meeting</i> , Vol. 2, pp 197-198 (1996).
	Deckman, B., et al., "A 5-Watt, 37-GHz Monolithic Grid Amplifier," <i>IEEE MTT-S Digest</i> , pp. 805-808 (2000).
	Escalera, N., et al., "Ka-Band, 30 Watts Solid State Power Amplifier," <i>IEEE MTT-S Digest</i> , paper TU1F-42, pp. 561-563 (2000).
	Fukushima, S., et al., "Direct Opto-Electronic Sythesis of mW-Level Millimeter-Wave Signals Using An Optical Frequency Comb Generator and a Uni-Travelling-Carrier Photodiode," <i>IEEE MTT-S Digest</i> , pp. 69-72 (2001).

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Information Disclosure Statement
 USSN 10/824,197
 April 13, 2005
 Page 10

Form PTO-1449 (Modified) Page 5 of 7	ATTY DOCKET NO. B-4711 620051-9	U.S. SERIAL NO. 10/824,197
LIST OF PATENTS AND PUBLICATIONS STATEMENT	APPLICANTS Daniel YAP, et al.	
	FILING DATE April 13, 2004	GROUP 2633

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Ghirardi, F., et al., "Monolithic Integration of an InP Based Polarization Diversity Heterodyne Photoreceiver with Electrooptic Adjustability," <i>Journal of Lightwave Technology</i> , Vol. 13, No. 7, pp 1536-1549 (July 1995).
	Goldsmith, C.L., et al., "Principles and Performance of Traveling-Wave Photodetector Arrays," <i>IEEE Transactions on Microwave Theory and Techniques</i> , Vol. 45, No. 8, pp. 1342-1350 (August 1997).
	Hansen, D.M., et al., "Development Of A Glass-Bonded Complaint Substrate," <i>Journal of Crystal Growth</i> , Vol. 195, pp. 144-150 (1998).
	Haus, H., et al., "Narrow-Band Optical Channel-Dropping Filter," <i>Journal of Lightwave Technology</i> , Vol. 10, No. 1, pp 57-61 (January 1992).
	Ibsen, M., et al., "30dB Sampled Gratings In Germanosilicate Planar Waveguides," <i>Electronics Letters</i> , Vol. 32, No. 24, pp. 2233-2235 (November 21, 1996).
	Ih, C.S., et al., "Dense All Optical WDM-SCM Technology for High Speed Computer Interconnects," <i>Optoelectronic Interconnects</i> , SPIE, Vol. 1849, pp. 308-318 (1993).
	Ingram, D.L., et al., "Compact W-Band Solid-State MMIC High Power Sources," <i>IEEE MTT-S Digest</i> , pp. 955-958 (2000).
	Jayaraman, V., et al., "Extended Tuning Range in Sampled Grating DBR Lasers," <i>IEEE Photonics Technology Letters</i> , Vol. 5, No. 5, pp. 489-491 (May 1993).
	Johansson, L.A., et al., "Millimeter-Wave Modulated Optical Signal Generation with High Spectral Purity and Wide-Locking Bandwidth Using a Fiber-Integrated Optical Injection Phase-Lock Loop," <i>IEEE Photonics Technology Letters</i> , Vol. 12, No. 6, pp 690-692 (June 2000).
	Kato, K., et al., "PLC Hybrid Integration Technology And Its Application To Photonic Components," <i>IEEE Journal of Selected Topics in Quantum Electronics</i> , Vol. 6, No. 1, pp. 4-13 (2000).
	Kato, K., "Ultrawide-Band/High-Frequency Photodetectors," <i>IEEE Transactions on Microwave Theory and Techniques</i> , Vol. 47, No. 7, pp. 1265-1281 (July 1999).
	Kazarinov, R., et al., "Narrow-Band Resonant Optical Reflectors and Resonant Optical Transformers for Laser Stabilization and Wavelength Division Multiplexing," <i>IEEE Journal of Quantum Electronics</i> , Vol. QE-23, No. 9, pp. 1419-1425 (September 1987).
	Kazovsky, L.G., et al., "A 1320-nm Experimental Optical Phase-Locked Loop: Performance Investigation and PSK Homodyne Experiments at 140 Mb/s and 2 Gb/s," <i>Journal of Lightwave Technology</i> , Vol. 8, No. 9, pp 1414-1425 (September 1990).
	Kikuchi, K., et al., "Amplitude-Modulation Sideband Injection Locking Characteristics of Semiconductor Lasers and their Application," <i>Journal of Lightwave Technology</i> , Vol. 6, No. 12, pp 1821-1830 (December 1988).
	Kitayama, K., "Highly-Stabilized, Tunable Millimeter-Wave Generation by Using Fiber-Optic Frequency Comb Generator," <i>Microwave Photonics</i> , pp. 13-16 (December 3, 1996).

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Information Disclosure Statement
 USSN 10/824,197
 April 13, 2005
 Page 11

Form PTO-1449 (Modified) Page 6 of 7	ATTY DOCKET NO. B-4711 620051-9	U.S. SERIAL NO. 10/824,197
LIST OF PATENTS AND PUBLICATIONS STATEMENT	APPLICANTS Daniel YAP, et al.	
	FILING DATE April 13, 2004	GROUP 2633

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Kobayashi, Y., et al., "Optical FM signal Amplification and FM Noise Reduction in an Injection Locked AlGaAs Semiconductor Laser," <i>Electronics Letters</i> , Vol. 17, No. 22, pp. 849-851 (October 29, 1981).
	Lee, C.C., et al., "Measurement of Stimulated-Brillouin-Scattering Threshold for Various Types of Fibers Using Brillouin Optical-Time-Domain Reflectometer," <i>IEEE Photonics Technology Letters</i> , Vol. 12, No. 6, pp. 672-674 (June 2000).
	Little, B.E., et al., "Ultra-Compact Si-SiO ₂ Microring Resonator Optical Channel Dropping Filters," <i>IEEE Photonics Technology Letters</i> , Vol. 10, No. 4, pp. 549-551 (April 1998).
	Little, B.E., "Vertically Coupled Glass Microring Resonator Channel Dropping Filters," <i>IEEE Photonics Technology Letters</i> , Vol. 11, No. 2, (February 1999).
	Little, B.E., et al., "Wavelength Switching and Routing Using Absorption and Resonance," <i>IEEE Photonics Technology Letters</i> , Vol. 10, No. 6, pp. 816-818 (June 1998).
	Liu, T., et al., "InP-Based DHBT with 90% Power-Added Efficiency and 1 W Output Power at 2 GHz," <i>Solid-State Electronics</i> , Vol. 41, No. 10, pp. 1681-1686 (1997).
	London, J.M., "Preparation of Silicon-on-Gallium Arsenide Wafers for Monolithic Optoelectronic Integration," <i>IEEE Photonics Technology Letters</i> , Vol. 11, No. 8, pp. 958-960 (1999).
	MacDonald, R.I., et al., "Hybrid Optoelectronic Integrated Circuit," <i>Applied Optics</i> , Vol. 26, No. 5, pp 842-844 (March 1, 1987).
	Murthy, S., et al., "A Novel Monolithic Distributed Traveling-Wave Photodetector with Parallel Optical Feed," <i>IEEE Photonics Technology Letters</i> , Vol. 12, No. 6, pp. 681-683 (June 2000).
	Ng, W., et al., "High-Efficiency Waveguide-Coupled $\lambda=1.3 \mu\text{m}$ In _x Ga _{1-x} As/GaAs MSM Detector Exhibiting Large Extinction Ratios at L and X Band", <i>IEEE Photonics Technology Letters</i> , Vol. 5, No. 5, pp. 514-517 (1993).
	Ng, W., et al., "High-Speed Single-and Multi-Element Fiber-Grating Coupled Diode Laser Transmitters for WDM Networks," <i>IEEE</i> , pp. 362-363 (1998).
	<i>Radio Frequency Photonic Synthesizer</i> , United Telecommunications Products, Inc., Chalfont, PA, Transmission Systems Division, (January 2000).
	Ramos, R.T., et al., "Optical Injection Locking and Phase-Lock Loop Combined Systems," <i>Optics Letters</i> , Vol. 19, No. 1, pp 4-6 (January 1, 1994).
	Sakamoto, S.R., et al., "Substrate Removed GaAs-AlGaAs Electrooptic Modulators", <i>IEEE Photonics Technology Letters</i> , Vol. 11, No. 10, pp. 1244-1246 (1999).
	Sarlet, G., et al., "Wavelength and Mode Stabilization of Widely Tunable SG-DBR and SSG-DBR Lasers," <i>IEEE Photonics Technology Letters</i> , Vol. 11, No. 11, pp. 1351-1353 (November 1999).

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Information Disclosure Statement
 USSN 10/824,197
 April 13, 2005
 Page 12

Form PTO-1449 (Modified) Page 7 of 7	ATTY DOCKET NO. B-4711 620051-9	U.S. SERIAL NO. 10/824,197
LIST OF PATENTS AND PUBLICATIONS STATEMENT	APPLICANTS Daniel YAP, et al.	
	FILING DATE April 13, 2004	GROUP 2633

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Sayyah, K., et al., "Multi-Tone Photonic Oscillator," <i>Proceedings of the SPIE</i> , Vol. 4490, pp. 52-62 (2001).
	Schäffer, C.G., "Application of Optical Amplifiers in a Microwave Distribution Network for Phased Array Antennas," <i>Lasers and Electro-Optics Society Annual Meeting, 1995, 8th Annual Meeting Conference Proceedings</i> , vol. 1, pp 202-203 (October 30-31, 1995).
	Shimizu, N., et al., "InP-InGaAs Uni-Traveling-Carrier Photodiode With Improved 3-dB Bandwidth of Over 150 GHz," <i>IEEE Photonics Technology Letters</i> , Vol. 10, No. 3, pp. 412-414 (March 1998).
	Tishinin, D.V., et al., "Vertical Resonant Couplers with Precise Coupling Efficiency Control Fabricated by Wafer Bonding", <i>IEEE Photonics Technology Letters</i> , Vol. 11, No. 8, pp. 1003-1005 (1999).
	Tsao, S.-L, "Phaselocked Tunable Subcarrier Comb Generator," <i>Electronics Letters</i> , Vol. 30, No. 24, pp. 2059-2060 (November 24, 1994).
	Yamamoto, T., et al., "270-360 Ghz Tunable Beat Signal Light Generator For Photonic Local Oscillator," <i>Electronics Letters</i> , Vol. 38, No. 15, pages 795-797 (July 2002).
	Yanagisawa, M., et al., "Film-Level Hybrid Integration of AlGaAs Laser Diode with Glass Waveguide on Si Substrate," <i>IEEE Photonics Technology Letters</i> , Vol. 4, No. 1, pp 21-23 (January 1992).
	Yao, X.S., et al., "High Frequency Optical Subcarrier Generator," <i>Electronics Letters</i> , Vol. 30, No. 18, pp. 1525-1526 (September 1, 1994).
	Yao, X.S., "High-Quality Microwave Signal Generation by Use of Brillouin Scattering in Optical Fibers," <i>Optics Letters</i> , Vol. 22, No. 17, pp. 1329-1331 (September 1, 1997).
	Yao, X.S., "Multiloop Optoelectronic Oscillator," <i>IEEE Journal of Quantum Electronics</i> , Vol. 36, No. 1, pp 79-84 (January 2000).
	Yao, X.S., et al., "Optoelectronic Microwave Oscillator," <i>J. Opt. Soc. Am. B</i> , Vol. 13, No. 8, pp. 1725-1735 (August 1996).
	Yao, X.S., et al., "Optoelectronic Oscillator for Photonic Systems," <i>IEEE Journal of Quantum Electronics</i> , Vol. 32, No. 7, pp 1141-1149 (July 1996).
	Yap, D., et al., "Agile Waveform Generation & Frequency Conversion," <i>RF-Lightwave Integrated Circuits Program Kickoff Meeting</i> , pp. DY1-DY10 (August 16, 2000).
	Yap, D., et al., "Switched Photonic Link for Distribution of Local-Oscillator Signals," <i>IEEE Photonics Technology Letters</i> , Vol. 12, No. 11, pp. 1552-1554 (November 2000).
	Yi-Yan, A., et al., "GaInAs/InP pin Photodetectors Integrated with Glass Waveguides," <i>Electronics Letters</i> , Vol. 27, No. 1, pp 87-89 (January 3, 1991).
	Yi-Yan, A., et al., "Semiconductor-Film Grafting: A New Approach to OEICs," <i>Circuits & Devices</i> , pp. 26-30 (May 1992).

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.